

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

KLAUS-DIETER HAMMER, ET AL.      Docket: ZOU-11

Serial Number: 10/712,421

Group Art Unit:

Filed: November 12, 2003

Examiner:

For: PROCESS AND APPARATUS FOR REMOVING NMMO-WATER MIXTURE  
COLLECTING IN THE INTERIOR OF A TUBULAR FILM PRODUCED BY THE NMMO  
PROCESS

DISCLOSURE UNDER 37 C.F.R. 1.56

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 C.F.R. 1.56. Copies of the documents are also being submitted herewith to comply with the provisions of 37 C.F.R. 1.97 and 1.98.

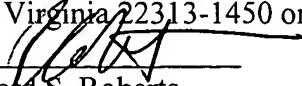
The submission of these documents is not intended as an admission that any or all of these materials are prior art against the claims of the present application. Applicant does not waive any rights to file Affidavits under 37 C.F.R. 1.131, to copy claims from the listed patent documents, or to take any other action which would be appropriate in the event that one or more of the documents are determined to be questionable as references against the claims of the present application.

None of these documents are believed to impair the patentability of the presently claimed invention. Nevertheless, to complete the record, formal consideration and citation of these documents is requested.

Respectfully submitted,

Richard S. Roberts  
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P.O. Box 484  
Princeton, New Jersey 08542  
(609) 921-3500  
Date: March 1, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage pre-paid in an envelope addressed to Commissioner For Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on March 1, 2004.

  
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Richard S. Roberts

**FORM PTO-1449 U.S. DEPARTMENT OF  
COMMERCE  
(Rev. 2-32) PATENT AND TRADEMARK OFFICE**

ATTY. DOCKET NO:  
ZOU-11

SERIAL NO.:  
10/712,421

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

APPLICANT:  
KLAUS-DIETER HAMMER, ET AL.

(Use several sheets if necessary)

FILING DATE:  
November 12, 2003

GROUP:

### U.S. PATENT DOCUMENTS

INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	5,597,587	01/28/1997	NICHOLSON, ET AL.	425	71	03/29/1995
AB	2,070,247	02/09/1937	WEINGAND, ET AL.	18	57	09/01/1934
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

### FOREIGN PATENT DOCUMENTS

							TRANSLATION	
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	AL	0 896 793	02-17-1999	EUROPE			X	
	AM	WO 98/08393	03-05-1998	PCT			X	
	AN	0 962 147	12-08-1999	EUROPE			X	
	AO	2 376 406	12-18-2002	GREAT BRITAIN				
	AP	0 662 283	07-12-1995	EUROPE			X	

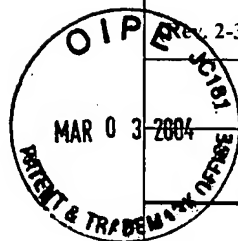
### OTHER DOCUMENTS(Including Author, Title, Date, Pertinent Pages, etc.)

	AQ	
	AR	
	AS	
	AT	
	AU	

EXAMINER

DATE CONSIDERED

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE OFFICE OF PATENT AND TRADEMARK				ATTY. DOCKET NO: ZOU-11		SERIAL NO.: 10/712,421	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICANT: KLAUS-DIETER HAMMER, ET AL.			
(Use several sheets if necessary)				FILING DATE: November 12, 2003		GROUP:	

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AV						
	AW						
	AX						
	AY						
	AZ						
	BA						
	BB						
	BC						
	BD						
	BE						
	BF						

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BG	0 930 016	07-21-1999	EUROPE			X	
	BH	0 692 194	01-17-1996	EUROPE			X	
	BI	408 774	04-19-1934	GREAT BRITAIN				
	BJ							
	BK							

OTHER DOCUMENTS(Including Author, Title, Date, Pertinent Pages, etc.)		
	BL	
	BM	
	BN	
	BO	
	BP	

EXAMINER	DATE CONSIDERED
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Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.